

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James B. Camden, et al.

Serial No. 09/676,034

Filed: September 29, 2000

For: Compounds and Methods for Use  
Thereof in the Treatment of Cancer or  
Viral Infections

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§

Group Art Unit: 1614

Examiner: C. Delacroix-Muirheid

**STATEMENT OF SMALL ENTITY STATUS UNDER 37 C.F.R. §1.27(c)**

The assignee of the above-cited patent application is UAF Technologies and Research, LLC by virtue of a chain of title from the inventors of the above-identified patent application to UAF Technologies and Research, LLC as shown by the following documents:

Assignment from James Clarence Quada, Jr. and Joseph Kofi Agyin to CTRC Research Foundation dated October 28, 2002 and November 18, 2002;

Assignment from CTRC Research Foundation to The Procter & Gamble Company dated October 29, 2002; and

Assignment from James Berger Camden to The Procter & Gamble Company dated November 1, 2002;

Assignment from The Procter & Gamble Company to the University of Arizona Foundation dated August 5, 2003; and

Assignment from the University of Arizona Foundation to UAF Technologies and Research, LLC dated October 6, 2003.

A copy of each of the above-listed assignments in the chain of title is attached hereto.

UAF Technologies and Research, LLC is an Arizona nonprofit corporation and qualifies as a Small Entity for purposes of paying Patent Office fees according to 37 CFR 1.27 (a)(3). The present paper is an assertion of entitlement to small entity status on the part of UAF Technologies and Research, LLC according to 37 CFR 1.27(c).

The undersigned representative is empowered to sign this Statement on behalf of the above-identified assignee and acknowledges the duty to file, in this application or patent, notification of any changes in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28).

The undersigned hereby declares that all statements made herein of the undersigned's own knowledge are true; and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

UAF Technologies and Research, LLC

1/26/04  
Date

Alfred Statham  
Alfred "Bo" Statham  
Manager

Attachments: Assignments cited herein



PTAS

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 21, 2003

HAYNES AND BOONE, LLP  
GLORIA NORBERG  
901 MAIN STREET, SUITE 3100  
DALLAS, TEXAS 75202-3789



\*700048865A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/20/2003

REEL/FRAME: 014051/0993

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

ARIZONA FOUNDATION, UNIVERSITY OF

DOC DATE: 10/06/2003

## ASSIGNEE:

UAF TECHNOLOGIES AND RESEARCH LLC  
1111 NORTH CHERRY AVENUE  
TUSCON, ARIZONA 85721

SERIAL NUMBER: 09676031

FILING DATE: 09/29/2000

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 09676032

FILING DATE: 09/29/2000

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 09676034

FILING DATE: 09/29/2000

PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 09703955

FILING DATE: 11/01/2000

PATENT NUMBER:

ISSUE DATE:

014051/0993 PAGE 2

SERIAL NUMBER: 09748652  
PATENT NUMBER:FILING DATE: 12/22/2000  
ISSUE DATE:SERIAL NUMBER: 09758853  
PATENT NUMBER:FILING DATE: 01/11/2001  
ISSUE DATE:SERIAL NUMBER: 10132545  
PATENT NUMBER:FILING DATE: 04/25/2002  
ISSUE DATE:SERIAL NUMBER: 10198334  
PATENT NUMBER:FILING DATE: 07/18/2002  
ISSUE DATE:SERIAL NUMBER: 10267051  
PATENT NUMBER:FILING DATE: 10/08/2002  
ISSUE DATE:SERIAL NUMBER: 10280100  
PATENT NUMBER:FILING DATE: 10/24/2002  
ISSUE DATE:SERIAL NUMBER: 10288264  
PATENT NUMBER:FILING DATE: 11/06/2002  
ISSUE DATE:SERIAL NUMBER: 10340945  
PATENT NUMBER:FILING DATE: 01/13/2003  
ISSUE DATE:SERIAL NUMBER: 10634542  
PATENT NUMBER:FILING DATE:  
ISSUE DATE:SERIAL NUMBER: 08420913  
PATENT NUMBER: 5629341FILING DATE: 04/12/1995  
ISSUE DATE: 05/13/1997SERIAL NUMBER: 08420935  
PATENT NUMBER: 5656615FILING DATE: 04/12/1995  
ISSUE DATE: 08/12/1997SERIAL NUMBER: 08771193  
PATENT NUMBER: 5767138FILING DATE: 12/20/1996  
ISSUE DATE: 06/16/1998SERIAL NUMBER: 09259969  
PATENT NUMBER: 6077862FILING DATE: 03/01/1999  
ISSUE DATE: 06/20/2000SERIAL NUMBER: 09408664  
PATENT NUMBER: 6177460FILING DATE: 09/29/1999  
ISSUE DATE: 01/23/2001SERIAL NUMBER: 09538006  
PATENT NUMBER: 6194430FILING DATE: 03/29/2000  
ISSUE DATE: 02/27/2001SERIAL NUMBER: 09535173  
PATENT NUMBER: 6245788FILING DATE: 03/27/2000  
ISSUE DATE: 06/12/2001SERIAL NUMBER: 09535172  
PATENT NUMBER: 6258831FILING DATE: 03/27/2000  
ISSUE DATE: 07/10/2001SERIAL NUMBER: 09264942  
PATENT NUMBER: 6262093FILING DATE: 03/09/1999  
ISSUE DATE: 07/17/2001

014051/0993 PAGE 3

SERIAL NUMBER: 09375173  
PATENT NUMBER: 6265427

FILING DATE: 08/16/1999  
ISSUE DATE: 07/24/2001

SERIAL NUMBER: 09468039  
PATENT NUMBER: 6297384

FILING DATE: 12/21/1999  
ISSUE DATE: 10/02/2001

SERIAL NUMBER: 09663578  
PATENT NUMBER: 6340696

FILING DATE: 09/15/2000  
ISSUE DATE: 01/22/2002

SERIAL NUMBER: 09748651  
PATENT NUMBER: 6362207

FILING DATE: 12/22/2000  
ISSUE DATE: 03/26/2002

SERIAL NUMBER: 09670170  
PATENT NUMBER: 6380232

FILING DATE: 09/26/2000  
ISSUE DATE: 04/30/2002

SERIAL NUMBER: 09578281  
PATENT NUMBER: 6384049

FILING DATE: 05/25/2000  
ISSUE DATE: 05/07/2002

SERIAL NUMBER: 09871565  
PATENT NUMBER: 6384064

FILING DATE: 05/30/2001  
ISSUE DATE: 05/07/2002

SERIAL NUMBER: 09794968  
PATENT NUMBER: 6392052

FILING DATE: 02/27/2001  
ISSUE DATE: 05/21/2002

SERIAL NUMBER: 09670169  
PATENT NUMBER: 6407105

FILING DATE: 09/26/2000  
ISSUE DATE: 06/18/2002

SERIAL NUMBER: 09676030  
PATENT NUMBER: 6407131

FILING DATE: 09/29/2000  
ISSUE DATE: 06/18/2002

SERIAL NUMBER: 09812094  
PATENT NUMBER: 6410575

FILING DATE: 03/19/2001  
ISSUE DATE: 06/25/2002

SERIAL NUMBER: 09676202  
PATENT NUMBER: 6420411

FILING DATE: 09/29/2000  
ISSUE DATE: 07/16/2002

SERIAL NUMBER: 09374717  
PATENT NUMBER: 6423734

FILING DATE: 08/13/1999  
ISSUE DATE: 07/23/2002

SERIAL NUMBER: 09676029  
PATENT NUMBER: 6423735

FILING DATE: 09/29/2000  
ISSUE DATE: 07/23/2002

SERIAL NUMBER: 09676409  
PATENT NUMBER: 6423736

FILING DATE: 09/29/2000  
ISSUE DATE: 07/23/2002

SERIAL NUMBER: 09670168  
PATENT NUMBER: 6462062

FILING DATE: 09/26/2000  
ISSUE DATE: 10/08/2002

SERIAL NUMBER: 10106429  
PATENT NUMBER: 6479526

FILING DATE: 03/26/2002  
ISSUE DATE: 11/12/2002

SERIAL NUMBER: 09676407  
PATENT NUMBER: 6482843

FILING DATE: 09/29/2000  
ISSUE DATE: 11/19/2002

014051/0993 PAGE 4

SERIAL NUMBER: 09645427  
PATENT NUMBER: 6498188

FILING DATE: 08/24/2000  
ISSUE DATE: 12/24/2002

SERIAL NUMBER: 08857811  
PATENT NUMBER: 6506783

FILING DATE: 05/16/1997  
ISSUE DATE: 01/14/2003

SERIAL NUMBER: 09792112  
PATENT NUMBER: 6552059

FILING DATE: 02/23/2001  
ISSUE DATE: 04/22/2003

SERIAL NUMBER: 09670166  
PATENT NUMBER: 6608096

FILING DATE: 09/26/2000  
ISSUE DATE: 08/19/2003

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**ASSIGNMENT**

WHEREAS, the University of Arizona Foundation (Assignor), an Arizona nonprofit corporation, of 1111 North Cherry Avenue, Tucson, Arizona 85721 is the owner, by virtue of assignments filed with the United States Patent and Trademark Office on October 7, 2002, October 30, 2002, February 12, 2003, and August 22, 2003 (copies of which are attached hereto), of the full and exclusive right, title and interest in and to the United States and International patents and patent applications and the inventions described therein and identified in ADDENDUM A attached hereto;

WHEREAS, UAF Technologies and Research, LLC (Assignee), an Arizona limited liability corporation of 1111 North Cherry Avenue, Tucson, Arizona 85721 is desirous of acquiring the entire right, title and interest in and to said patents, patent applications, and said inventions as identified in the attached ADDENDUM A;

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged by Assignor, the University of Arizona Foundation does hereby:

SELL, ASSIGN AND TRANSFER, pursuant to a Capital Transfer Agreement dated July 3, 2003, to UAF Technologies and Research, LLC, its successors, assigns and legal representatives, the entire right, title, and interest in and to said patents, patent applications, and said inventions for the United States and all foreign countries which are disclosed in the patents and patent applications of Addendum A, and all divisional, continuing, substitute, renewal, reissue, extensions, and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such inventions; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States; including the right to collect past damages;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such inventions to the Assignee, and further agrees, at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment by executing all patent applications, executing all rightful oaths, declarations, assignments, powers of attorney and other papers in connection therewith necessary to perfect such rights, title and interest, communicating to the Assignee all facts known to the undersigned relating to such inventions and the history thereof; and by aiding said Assignee, its successors, assigns and legal representatives to obtain and enforce proper protection for said inventions in all countries.

IN WITNESS WHEREOF, the undersigned duly authorized officer of Assignor has executed this document this 6<sup>th</sup> day of October, 2003.

THE UNIVERSITY OF ARIZONA FOUNDATION

By: 

Gary N. Scrivner, Ph.D., CPA

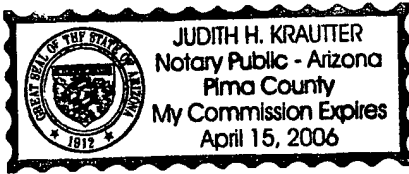
Vice President for Finance and Administration

Attachments (Addendum A; assignments to the University of Arizona Foundation dated October 7, 2002, October 30, 2002, February 12, 2003 and August 22, 2003)

THE STATE OF ARIZONA       §  
   §  
COUNTY OF Pima       §

Before me, the undersigned, a Notary Public, on this day personally appeared GARY N. SCRIVNER known to me to be the person and officer whose name is subscribed to the foregoing instrument and acknowledged to me that the same was the act of the University of Arizona Foundation, an Arizona nonprofit corporation, and that he has executed the same as the act of such corporation for the purpose and consideration therein expressed, and in the capacity therein stated.

GIVEN UNDER MY HAND AND SEAL OF OFFICE on this 6th day of  
OCTOBER, 2003.



(PERSONALIZED SEAL)

Judith H. Krautter  
Notary Public Signature

## ADDENDUM A

Patents and Patent Applications				
Ref. Nos:	Serial No.	Filing Date	Patent No. or Pub. No.	Issue Date or Pub. Date
5637US	08/420,913	04/12/95	5,629,341	05/13/97
5638DUS	08/771,193	12/20/96	5,767,138	06/16/98
5638D2CUS	09/264,942	03/09/99	6,262,093	07/17/01
5638D2CDUS	09/748,651	12/22/00	6,362,207	03/26/02
5638D2CDCUS	10/106,429	03/26/02	6,479,526	11/12/02
5702CRUS	09/375,173	08/16/99	6,265,427	07/24/01
5702CRCUS	09/792,112	02/23/01	6,552,059	04/22/03
5785D2RUS	09/408,664	09/29/99	6,177,460	01/23/01
5785D2RCUS	09/748,652	12/22/00	2001-0002403	05/31/01
5785D2RD2US	09/645,427	08/24/00	6,498,188	12/24/02
6643US	08/857,811	05/16/97	6,506,783	01/14/03
6643DUS	09/259,969	03/01/99	6,077,862	06/20/00
6643RUS	09/676,407	09/29/00	6,482,843	11/19/02
6643R2US	09/676,034	09/29/00		
6643R4US	09/676,409	09/29/00	6,423,736	07/23/02
6643R5US	09/676,032	09/29/00		
6643R6US	09/676,031	09/29/00		
6643R7US	09/676,030	09/29/00	6,407,131	06/18/02
6643R8US	09/676,029	09/29/00	6,423,735	07/23/02
6643R10US	09/676,202	09/29/00	6,420,411	07/16/02
7485RUS	09/535,172	03/27/00	6,258,831	07/10/01
7485RDUS	09/812,094	03/19/01	6,410,575	06/25/02
7486RUS	09/535,173	03/27/00	6,245,788	06/12/01
7486R2US	09/663,578	09/15/00	6,340,696	01/22/02
7486RCUS	09/871,565	05/30/01	6,384,064	05/07/02
7487RUS	09/538,006	03/29/00	6,194,430	02/27/01
7487RDUS	09/758,853	01/11/01		
7719US	09/374,717	08/13/99	6,423,734	07/23/02
7719CUS	10/198,334	07/18/02	2003-0032664	02/13/03
7879US	09/468,039	12/21/99	6,297,384	10/02/01
7879CUS	09/794,968	02/27/01	6,392,052	05/21/02
8105US	09/578,281	05/25/00	6,384,049	05/07/02
8105PCT	US01/16690	05/23/01	WO 01/89499	11/29/01
8250US	09/670,169	09/26/00	6,407,105	06/18/02
8250PCT	US01/29261	09/19/01	WO 02/26716	04/04/02
8251US	09/670,168	09/26/00	6,462,062	10/08/02
8251DUS	10/267,051	10/08/02	2003-0100592	05/29/03
8252US	09/670,170	09/26/00	6,380,232	04/30/02
8252DUS	10/132,545	04/25/02	2002-0193609	12/19/02
8253US	09/670,166	09/26/00	6,608,096	08/19/03
8315US	09/703,955	11/01/00		
8315CUS	10/280,100	10/24/02	2003-0149088	08/07/03
8315PCT	US01/45019	10/31/01	WO 02/41891	05/30/02
5638D2CDC2US	10/288,264	11/06/02		

Patents and Patent Applications				
Ref. Nos:	Serial No.	Filing Date	Patent No. or Pub. No.	Issue Date or Pub. Date
6643C	10/340,945	01/13/03		
5640US	08/420,935	04/12/95	5,656,615	08/12/97
8253DUS	10/634,542	08/05/03		

## ASSIGNMENT OF PATENT APPLICATIONS

This Assignment is made effective August 28, 2002, between The Procter & Gamble Company, an Ohio corporation, having its principal place of business at One Procter & Gamble Plaza, Cincinnati, OH 45208 (hereinafter referred to as "Assignor") and University of Arizona Foundation, having its principal place of business at 1111 North Cherry Avenue, Tucson, Arizona 85721 (hereinafter referred to as "Assignee").

### WITNESSETH:

WHEREAS, Assignor has rights in and owns the United States and International patents and patent applications identified in Addendum A attached hereto.

WHEREAS, pursuant to that certain Donation Agreement dated August 28, 2002, the Assignor and Assignee have agreed to the assignment hereinafter set forth.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor:

1. Assignor hereby agrees to assign and assigns to Assignee, its successors and assigns, absolutely and forever all its right, title and interest to any and all patents and patent applications, and to any and all rights relating to said patents and patent applications owned by Assignor and identified in Addendum A attached hereto.

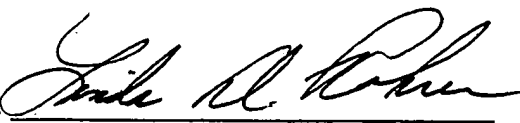
2. Assignor further assigns to Assignee all its rights to sue for past infringement of said patents and to recover any and all damages and awards for such infringement.

3. Assignor further covenants and agrees that it will, upon demand of Assignee, its successors or assigns, and without further consideration, execute any and all papers necessary or deemed to be necessary by Assignee or its successors or assigns to be a complete fulfillment of the intent and purpose of this Assignment.

IN WITNESS WHEREOF, Assignor has caused this instrument to be executed by its duly authorized officers.

THE PROCTER & GAMBLE COMPANY

Date: 8/5/03

By:   
Linda D. Rohrer  
Assistant Secretary

**ADDENDUM A**

**UNITED STATES PATENT APPLICATIONS**

<b>Application/Patent No.</b>	<b>Filed</b>
09/676,407	09-29-2000
09/676,032	09-29-2000
09/676,034	09-29-2000
09/676,409	09-29-2000
09/676,031	09-29-2000
09/676,030	09-29-2000
09/676,029	09-29-2000
09/676,202	09-29-2000

## GLOBAL GENERAL ASSIGNMENT

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made a certain invention as set forth in a patent application (including industrial designs and utility models) entitled **COMPOUNDS AND METHODS FOR USE THEREOF IN THE TREATMENT OF CANCER OR VIRAL INFECTIONS**, Attorney's Docket No. 6643R2 and filed in the United States Patent Office as Number 09/676,034, on September 29, 2000 (the hereinafter named assignee being authorized to insert said Number and filing date when ascertained):

**James Berger Camden of West Chester, County of Butler, State of Ohio**

I/We made said invention set forth in said patent application while employed by, or otherwise under an obligation to assign said invention to The Procter & Gamble Company or one of its Affiliates (including, Procter & Gamble Asia Pacific Ltd.; Procter & Gamble Australia Pty. Ltd.; Procter & Gamble Technology (Beijing) Co., Ltd.; Procter & Gamble Far East, Inc.; Procter & Gamble Hong Kong Ltd.; Procter & Gamble India, Ltd.; Procter & Gamble Indonesia; Procter & Gamble Korea, Inc.; Procter & Gamble Malaysia Sdn. Bhd.; Procter & Gamble Philippines, Inc.; Procter & Gamble Taiwan Ltd; Procter & Gamble Manufacturing (Thailand) Ltd.; Procter & Gamble (Guangzhou) Ltd.; P&G Northeast Asia Pte Ltd; Max Factor K.K.; P&G K.K.; Procter & Gamble Asia Pte. Limited; Procter & Gamble de Mexico; Procter & Gamble de Venezuela and P&G-Clairol, Inc.) and acknowledge my/our obligation at the time the invention was made to assign said invention to The Procter & Gamble Company or the Affiliate, respectively. Pursuant to said obligation and (in the case of employment by or obligation to the Affiliate) at the request of the Affiliate, I/we assign to The Procter & Gamble Company, its legal representatives, successors and assigns, the entire right, title and interest (including the right to claim priority of the filing date of said patent application under international conventions) in said invention as set forth in said patent application, and in all patents of the United States and of any other country which may be issued for said invention, as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in The Procter & Gamble Company. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to applications, and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to The Procter & Gamble Company, its legal representatives, successors and assigns, or as said Company may direct.

I/We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention herein assigned and as set forth in U. S. Application Serial Number 09/670,170 (the hereinafter named assignee being authorized to insert said U.S. Serial Number when ascertained) to The Procter & Gamble Company, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention and the invention covered thereby.

The ASSIGNMENT of said invention is effective as of the earlier of 1) the date of execution shown below or 2) the filing date of said patent application.

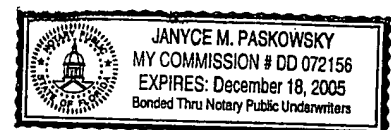
James Berger Camden  
James Berger Camden

11/01/2002  
Date

State of FLORIDA }  
County of PINELAS } SS

On this 1 day of NOVEMBER, 2002, before me personally appeared James Berger Camden, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

Janyce M. Paskowsky  
Notary Public/Witness



## G L O B A L   G E N E R A L   A S S I G N M E N T

WHEREAS I/we, CTRC research Foundation, a 501 (c)(3) Texas Corporation, of Bexar County, State of Texas, owns the rights to a certain invention as set forth in a patent application (including industrial designs and utility models) entitled **COMPOUNDS AND METHODS FOR USE THEREOF IN THE TREATMENT OF CANCER OR VIRAL INFECTIONS**, Attorney's Docket No. 6643R2 and filed in the United States Patent Office as Number 09/676,034, on **September 29, 2000** (the hereinafter named assignee being authorized to insert said Number and filing date when ascertained):

**CTRC RESEARCH FOUNDATION of San Antonio, County of Bexar, State of Texas**

Whereas James Clarence Quada, Jr. and Joseph Kofi Agyin made said invention set forth in said patent application while employed by, or otherwise under an obligation to assign said invention to the CTRC Research Foundation or one of its Affiliates, and whereas CTRC Research Foundation or the Affiliate acknowledge its obligation at the time the invention was made to assign said invention to The Procter & Gamble Company. Pursuant to said obligation and (in the case of employment by or obligation to the Affiliate) at the request of the Affiliate, CTRC Research Foundation or the Affiliate assigns to The Procter & Gamble Company, its legal representatives, successors and assigns, the entire right, title and interest (including the right to claim priority of the filing date of said patent application under international conventions) in said invention as set forth in said patent application, and in all patents of the United States and of any other country which may be issued for said invention, as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in The Procter & Gamble Company. CTRC Research Foundation further agrees upon request, without additional compensation but at no expense to CTRC Research Foundation, to execute or assent to applications, and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to The Procter & Gamble Company, its legal representatives, successors and assigns, or as said Company may direct.

CTRC Research Foundation requests the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention herein assigned and as set forth in U. S. Application Serial Number 09/670,170 (the hereinafter named assignee being authorized to insert said U.S. Serial Number when ascertained) to The Procter & Gamble Company, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention and the invention covered thereby.

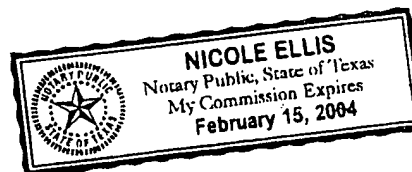
The ASSIGNMENT of said invention is effective as of the earlier of 1) the date of execution shown below or 2) the filing date of said patent application.

John F. Cole  
John F. Cole, Ph.D.  
Vice President, CTRC Research Foundation

10/29/02  
Date

State of Texas                    }  
  } SS  
County of Bexar                }

On this 29<sup>th</sup> day of October, 2002, before me personally appeared John F. Cole, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.



Nicole C  
Notary Public/Witness

## G L O B A L   G E N E R A L   A S S I G N M E N T

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made a certain invention as set forth in a patent application (including industrial designs and utility models) entitled **COMPOUNDS AND METHODS FOR USE THEREOF IN THE TREATMENT OF CANCER OR VIRAL INFECTIONS**, Attorney's Docket No. 6643R2 and filed in the United States Patent Office as Number 09/676,034, on September 29, 2000 (the hereinafter named assignee being authorized to insert said Number and filing date when ascertained):

James Clarence Quada, Jr. of High Point, County of Guilford, State of North Carolina;  
Joseph Kofi Agyin of San Antonio, County of Bexar, State of Texas

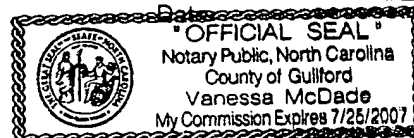
I/We made said invention set forth in said patent application while employed by, or otherwise under an obligation to assign said invention to the CTRC Research Foundation or one of its Affiliates and acknowledge my/our obligation at the time the invention was made to assign said invention to the CTRC Research Foundation or the Affiliate, respectively. Pursuant to said obligation and (in the case of employment by or obligation to the Affiliate) at the request of the Affiliate, I/we assign to the CTRC Research Foundation, its legal representatives, successors and assigns, the entire right, title and interest (including the right to claim priority of the filing date of said patent application under international conventions) in said invention as set forth in said patent application, and in all patents of the United States and of any other country which may be issued for said invention, as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in the CTRC Research Foundation. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to applications, and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to the CTRC Research Foundation, its legal representatives, successors and assigns, or as said Company may direct.

I/We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention herein assigned and as set forth in U. S. Application Serial Number 09/670,170 (the hereinafter named assignee being authorized to insert said U.S. Serial Number when ascertained) to the CTRC Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention and the invention covered thereby.

The ASSIGNMENT of said invention is effective as of the earlier of 1) the date of execution shown below or 2) the filing date of said patent application.

James Clarence Quada, Jr.  
James Clarence Quada, Jr.

State of North Carolina     }  
County of Guilford        } SS



On this 18th day of November, 2002, before me personally appeared James Clarence Quada, Jr., to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

Vanessa McDade  
Notary Public/Witness

Joseph Kofi Agyin

\_\_\_\_\_  
Date

State of Texas                 }  
County of                     } SS

On this \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally appeared Joseph Kofi Agyin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public/Witness

## GLOBAL GENERAL ASSIGNMENT

WHEREAS I/we, the undersigned inventor(s) herewith declare that I am the sole inventor/we are the joint inventors and I/we have made a certain invention as set forth in a patent application (including industrial designs and utility models) entitled **COMPOUNDS AND METHODS FOR USE THEREOF IN THE TREATMENT OF CANCER OR VIRAL INFECTIONS**, Attorney's Docket No. 6643R2 and filed in the United States Patent Office as Number 09/676,034, on September 29, 2000 (the hereinafter named assignee being authorized to insert said Number and filing date when ascertained):

**James Clarence Quada, Jr. of High Point, County of Guilford, State of North Carolina;**  
**Joseph Kofi Agyin of San Antonio, County of Bexar, State of Texas**

I/We made said invention set forth in said patent application while employed by, or otherwise under an obligation to assign said invention to the CTRC Research Foundation or one of its Affiliates and acknowledge my/our obligation at the time the invention was made to assign said invention to the CTRC Research Foundation or the Affiliate, respectively. Pursuant to said obligation and (in the case of employment by or obligation to the Affiliate) at the request of the Affiliate, I/we assign to the CTRC Research Foundation, its legal representatives, successors and assigns, the entire right, title and interest (including the right to claim priority of the filing date of said patent application under international conventions) in said invention as set forth in said patent application, and in all patents of the United States and of any other country which may be issued for said invention, as fully and completely as the same would have been held by me/us had this assignment not been made. The entire right, title and interest shall vest irrevocably in the CTRC Research Foundation. I/We further agree upon request, without additional compensation but at no expense to me/us, to execute or assent to applications, and to execute all other legal documents as may be necessary or desirable to vest the enjoyment of the rights assigned to the CTRC Research Foundation, its legal representatives, successors and assigns, or as said Company may direct.

I/We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention herein assigned and as set forth in U. S. Application Serial Number 09/670,170 (the hereinafter named assignee being authorized to insert said U.S. Serial Number when ascertained) to the CTRC Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title, and interest in said patent and the invention and the invention covered thereby.

The ASSIGNMENT of said invention is effective as of the earlier of 1) the date of execution shown below or 2) the filing date of said patent application.

\_\_\_\_\_  
 James Clarence Quada, Jr.

\_\_\_\_\_  
 Date

State of North Carolina     }  
   } SS  
 County of                        }

On this \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally appeared James Clarence Quada, Jr., to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

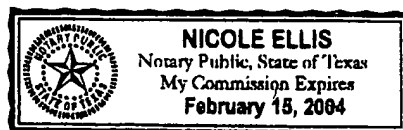
  
 \_\_\_\_\_  
 Joseph Kofi Agyin

\_\_\_\_\_  
 Notary Public/Witness

10/28/02  
 \_\_\_\_\_  
 Date

State of Texas                 }  
   } SS  
 County of Bexar             }

On this 28th day of October, 2002, before me personally appeared Joseph Kofi Agyin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.



  
 \_\_\_\_\_  
 Notary Public/Witness